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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Takayuki WATANABE, et al.** **ATTN: BOX MISSING PARTS**

Serial No.: **10/024,391**

Group Art Unit: **1765**

Filed: **December 21, 2001**

P.T.O. Confirmation No.: **5820**

**FOR: METHOD OF MANUFACTURING SEMICONDUCTOR DEVICE AND
METHOD OF MANUFACTURING OPTICAL WAVE GUIDE**

RESPONSE TO NOTICE TO FILE OMITTED ITEM

**Commissioner for Patents
Washington, D.C. 20231**

April 10, 2002

Dear Sir:

In response to the Notice of Omitted Item dated **March 22, 2002**, we are submitting a Preliminary Amendment in order to correct page 18, line 33 through page 18, line 35 of the specification which was submitted in the U.S. Patent and Trademark Office on **December 21, 2001**. Please note that this correction in the specification should cancel the need for an omitted item, since the omitted item was a typographical error in the specification and figure 17F never existed.

In the event that this response is not timely filed, Applicants hereby petition for an appropriate extension of time. The fees for any such extension may be charged to our Deposit Account No. 01-2340. This paper is filed in triplicate.

In the event that any additional fees are due with this paper, please charge Deposit Account No. 01-2340. This paper is filed in triplicate.

Respectfully Submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP

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Atty. Docket No. 011732

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PATENT TRADEMARK OFFICE

Attachments: Preliminary Amendment; Notice of Omitted Items dated February 22, 2002

MS



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/024,391	12/21/2001	Takayuki Watanabe	011732

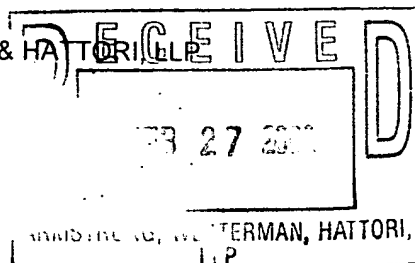
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1725 K STREET, NW.

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CONFIRMATION NO. 5820

FORMALITIES LETTER



OC000000007518219

Date Mailed: 02/22/2002

over April 22, 2002

Non EXT.

NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) 17F described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

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2-27-02

A copy of this notice MUST be returned with the reply.

AP

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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